



#4.

BOX PCT  
PATENT  
32860-000171/US

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicants: Norbert BECKER et al.

Int'l Application No.: PCT/DE00/00737

Application No.: 09/936,047

Filed: September 7, 2001

For: AUTOMATION SYSTEM WITH AUTOMATION OBJECTS WITH A  
DIRECTORY STRUCTURE AND METHOD FOR THE MANAGEMENT  
OF AUTOMATION OBJECTS IN A DIRECTORY STRUCTURE

**THIS IS NOT A NEW PATENT APPLICATION**

LETTER SUBMITTING DOCUMENTS  
FOR COMPLETION OF AN APPLICATION  
PURSUANT TO 35 U.S.C. §371

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington, DC 20231

January 2, 2002

Sir:

The application papers for the above-identified application were originally filed on September 7, 2001 and the application was assigned Application No. 09/936,047.

☒ Under the provisions of 37 C.F.R. §§ 1.41(c) and 1.53(f), attached hereto is the executed Declaration of the inventor(s) (☒ original ☐ photocopy), necessary for completing the filing requirements in connection with the above-identified application.

☐ Under the provisions of 37 C.F.R. §§ 1.41(c) and 1.53(f), attached hereto is the executed Declaration that was attached to the specification at the time of execution. The attached specification is a true copy of the specification that was filed in the U.S. Patent and Trademark office on , including any amendments thereto (if applicable) filed on even date therewith.

☒ The undersigned hereby declares that "Attorney Docket No. 32860-000171/US on page 1 of the inventors' Declaration, filed on January 2, 2002, corresponds to Appl. No. 09/936,047, filed September 7, 2001, entitled "AUTOMATION SYSTEM WITH AUTOMATION OBJECTS WITH A DIRECTOR STRUCTURE AND METHOD FOR THE MANAGEMENT OF AUTOMATION OBJECTS IN A DIRECTOR STRUCTURE."

- ☐ Attached are \_\_\_\_\_ (\_\_\_\_) sheet(s) of formal drawings.
- ☒ Attached is a copy of the Notice to File Missing Requirements of Nonprovisional Application.

The Government Filing Surcharge (37 C.F.R. § 1.16(e)) and the basic Government Filing Fee (37 C.F.R. § 1.16(a)-(d)) (if applicable) is attached hereto and calculated as follows:

- ☐ Filing Fee \$0.00
- ☒ Surcharge - the surcharge fee of \$130.00 was paid with the filing of the PCT application on September 7, 2001
- ☐ Attached hereto is a Statement Claiming Small Entity Status (☐ original ☐ photocopy).
- ☐ Submitted concurrently herewith **under separate cover** for recording is an Assignment.

☒ No extension fee is required because the undersigned has filed the documents within the allotted time given by the U.S. Patent and Trademark Office. However, if for some reason it is determined that an extension of time is necessary, applicant hereby respectfully petitions for an extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17.

Application No. 09/936,047  
Docket No.: 32860-000171/US

☐ Applicant hereby respectfully petitions for a ( ) month(s) extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17. The required fee of \$0.00 is attached hereto.

☐ A check in the amount of \$130.00 to cover the basic filing fee, surcharge fee, and any extension of time fees (if applicable) is enclosed.

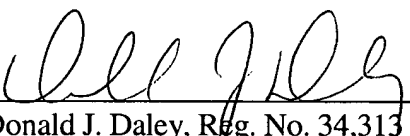
☐ Please charge Deposit Account No. 08-0750 in the amount of \$0.00. A triplicate copy of this transmittal form is enclosed.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 08-0750 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

HARNESS, DICKEY & PIERCE, P.L.C.

By

  
Donald J. Daley, Reg. No. 34,313

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Attachments

PC1



## Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

on January 2, 2002.  
Date

A handwritten signature in dark ink, appearing to read "Karen Armellino", written over a horizontal line.


Signature

Karen Armellino

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Change of Address and Revocation and Substitution of Power of Attorney  
Assignment Recordation Cover Sheet and Assignment  
Response to Notice to File Missing Parts  
Executed Declaration

		
U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/936,047	Norbert Becker	3286-0171P

2292  
 BIRCH STEWART KOLASCH & BIRCH  
 PO BOX 747  
 FALLS CHURCH, VA 22040-0747

INTERNATIONAL APPLICATION NO.

PCT/DE00/00737

I.A. FILING DATE

03/09/2000

PRIORITY DATE

03/09/1999

CONFIRMATION NO. 7826

371 FORMALITIES LETTER



\*OC000000006983907\*

Date Mailed: 11/01/2001

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Preliminary Amendments
- Request for Immediate Examination

*Dock.*  
*11/02*  
*OATH*  
*juc*

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be



**RECEIVED**  
 NOV - 6 2001

mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

SHAKEEL AHMED

Telephone: (703) 305-3659

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/936,047	PCT/DE00/00737	3286-0171P